

Plan Administration

Sample Summary of Section 104(b) Files and Other Key Documents As of August 13, 2013

Documents Description	Dated	Signed
Summary Plan Description (SPD)	01/13/12	No
Annual Report (Form 5500)	09/30/12	Yes
Summary Annual Report (SAR)	09/30/12	No
Current C.B.A.	10/01/11- 09/30/12	Yes
Summary of Material Modifications	02/15/11	Yes
Trust Agreement	01/09/01	Yes
Amendment - No. 1	12/11/00	Yes
Plan Document	10/01/04	Yes
Amendment - No. 1	07/05/08	Yes
Amendment - No. 2	10/25/12	Yes
Investment Manager Agreements:		
Manager A	07/14/12	Yes
Manager B	08/07/12	Yes
Manager C	07/03/12	Yes
Manager D	03/01/12	Yes
Manager E	08/09/12	Yes
Investment Monitor Agreement	06/05/12	Yes
Insurance Contracts		
Fiduciary	12/01/12	Yes
Fidelity	10/02/12	Yes
Service Provider Agreements		
Attorney	05/10/12	Yes
Certified Public Accountants	10/15/12	Yes
Custodial Bank	11/29/12	Yes
Custodial Bank	10/18/12	Yes
Third Party Administrator Agreement	08/01/10	Yes
Amendment - No. 1	11/01/11	Yes
Actuary	05/25/11	Yes
Operations Documentation		
Delinquency Procedures	01/10/10	Yes
Investment Goals and Objectives	04/11/12	Yes
Travel & Seminar Policy	06/07/12	Yes
Records Retention Policy	07/20/12	Yes
Premises Lease Agreement	01/01/11- 12/31/12	Yes
Cost Allocation Agreements	01/01/13	Yes
Cost Allocation Study	06/01/13	Yes
Cost Recovery Analysis	06/01/13	Yes